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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Xiadong Li; Titus Lo; Haiming Huang; and Kemin LiApplication No./Patent No.: 10/583,239 Filed/Issue Date: June 16, 2006Entitled: METHODS AND APPARATUS FOR MULTI-CARRIER COMMUNICATION SYSTEMS WITH AUTOMATIC REPEAT REQUEST (ARQ)

Neocific, Inc., a Corporation  
 (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
 (The extent (by percentage) of its ownership interest is \_\_\_\_\_ %)

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title of the patent application/patent identified above, to the current assignee as follows:

1. From: Xiadong Li; Titus Lo; Haiming Huang; and Kemin Li To: Waltical Solution, Inc.  
 The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: Waltical Solutions, Inc. To: Neocific, Inc.  
 The document was recorded in the United States Patent and Trademark Office at Reel 017363, Frame 0370, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
 The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Stephen Bishop  
 Signature

15 October 2008  
 Date

Stephen Bishop  
 Printed or Typed Name

(206) 359-8000  
 Telephone Number

Attorney for Assignee  
 Title

## **ASSIGNMENT BY INVENTORS**

This Assignment is by Xiaodong Li; Titus Lo; Haiming Huang; and Kemin Li (the "Assignors"), residing at 9919 129th PL NE, Kirkland, Washington 98033; 10501 181st Ave. NE, Redmond, Washington 98052; 605 141st CT SE #D203, Bellevue, Washington 98007; and 4228 144th LN SE, Bellevue, 98006, respectively. The Assignors have invented one or more certain inventions (the "Invention(s)") described in a Patent application for Letters Patent of the United States entitled METHODS AND APPARATUS FOR MULTI-CARRIER COMMUNICATION SYSTEMS WITH ARQ (the "Application"), executed concurrently herewith and naming the Assignors as inventors. The Assignors authorize the Assignee, identified below, or its representatives to insert the application number of the Application, 4505/03889 when known.

Waltical Solution, Inc. (formerly Walbell Technologies, Inc.), a corporation of Washington having its principal place of business at Suite D159, 1750 112th Ave. NE, Bellevue, Washington 98004 ("Assignee"), desires to acquire the entire right, title and interest in and to the Invention(s) and the Application, and in and to any patents (collectively, "Patents") that may be granted for the Invention(s) in the United States or in any foreign countries.

For valuable consideration, the receipt and sufficiency of which Assignors acknowledge, Assignors hereby sell, assign, and transfer to Assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to: the Invention(s), the Application, and any Patents; any divisions, continuations, and continuations-in-part of the Application and any other application claiming priority rights from the Application; any reissues, reexaminations, or extensions of any and all Patents; the right to file foreign applications directly in the name of Assignee; and the right to claim priority rights deriving from the Application (collectively, the "Rights"). Assignors warrant that Assignors own the Rights, and that the Rights are unencumbered. Assignors also agree to not sign any writing or do any act conflicting with this assignment, and, without further compensation, sign all documents and do

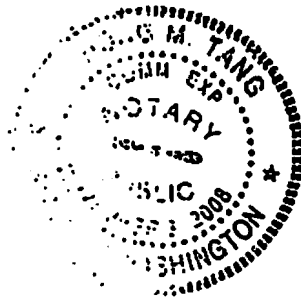
such additional acts as Assignee deems necessary or desirable to: perfect Assignee's enjoyment of the Rights; conduct proceedings regarding the Rights, including any litigation or interference proceedings; or perfect or defend title to the Rights. Assignors request the Commissioner of Patents to issue any Patent of the United States that may be issued on the Invention(s) to Assignee. This Assignment may be executed in counterparts.

Xiaodong Li

Date: April 8 2005

United States of America )  
State of Washington ) ss.:  
County of King )

On this 8 day of April, 2005, before me personally came Xiaodong Li, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.



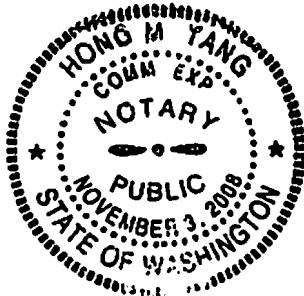
G. M. Tang  
Notary Public

Titus Lo  
Titus Lo

Date: Apr 5 2005

United States of America  
State of Washington ) ss.:  
County of King )

On this 8<sup>th</sup> day of April, 2005, before me  
personally came Titus Lo, to me known to be the individual  
described in and who executed the foregoing instrument, and acknowledged execution  
of the same.



Hong M. Yang  
Notary Public

Haiming Huang

Haiming Huang

Date: April 5, 2005

United States of America

State of

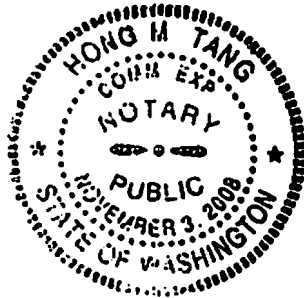
Washington

) ss.:

County of

King

On this 5 day of April, 2005, before me  
personally came Haiming Huang, to me known to be the individual  
described in and who executed the foregoing instrument, and acknowledged execution  
of the same.



Hong M. Tang  
Notary Public

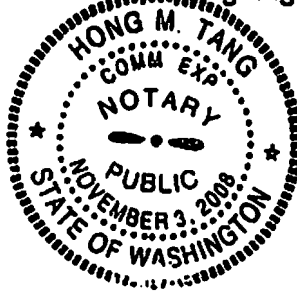
[Signature]

Kemin Li

Date: Apr 8 2005

United States of America  
State of Washington ) ss.:  
County of King )

On this 8<sup>th</sup> day of April, 2005, before me  
personally came Kemin Li, to me known to be the individual  
described in and who executed the foregoing instrument, and acknowledged execution  
of the same.



[Signature]  
Notary Public